

# APPLICATION FORM

## TG INVESTMENT FUNDS PLC (THE "FUND")

### TG TAAM ASIA EX JAPAN FUND (THE "SUB-FUND")

Please complete this form in blue or black ink using BLOCK CAPITALS, and return together with applicable documentation required in connection with Anti-Money Laundering procedures, as detailed in Appendix A of this Application Form to:

TG Investment Funds Plc  
c/o RBC Dexia Investor Services Ireland Limited  
Shareholder Services - Registration Team  
George's Quay House  
43 Townsend Street  
Dublin 2  
Ireland  
Telefax: 353-1- 613 1166  
Telephone: 353 1 4406 555

Please note that the original fully signed Application Form must be returned to the Administrator appointed by the Fund at the above address if this is your first investment in the Shares of the Fund. No redemption or conversion or transfer requests will be processed (or paid in the event of a redemption request) prior to receipt of this original form and supporting documentation.

#### Instructions for completion of Application Form

Please refer to the application form Notes when completing this form. Applicants should complete **all** sections in full and ensure that the application is signed by the applicant(s) and / or appropriate authorised signatories.

#### Instructions for Professional Advisors

Professional Advisers must complete and sign the confirmation contained in this Application Form.

#### 1. INVESTMENT DETAILS

I / We hereby apply to invest in the Sub-Fund, as indicated in the table below:

Minimum Subscription for Class I Shares is USD 3,000,000  
There is no Minimum Subscription requirement for the Class Z Shares

Class		Number of Shares	Payment* Currency
I	Z		

\*Subscription monies for the Shares of the Sub-Fund should be remitted in the designated currency of the relevant Class, unless otherwise agreed with the Administrator. Where currencies other than the Base Currency of the Class are used to subscribe, investments into the Sub-Fund will only be processed following confirmation of the receipt of cleared funds by the Administrator.

<b>Dividend Option</b>	Cash <input type="checkbox"/>	Reinvest <input type="checkbox"/>
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**Please note: Failure to complete this section will result in automatic re-investment of dividends.**

## 2. DETAILS OF APPLICANT(S)

### First Applicant

a) Complete your full name and address below

Please note that by completing this Application Form you are providing personal data to the Fund for the purposes of applying for Shares in the Sub-Fund. This data will be used for the purposes of administration, analysis, research and disclosure to the Fund, its delegates and agents. Your consent is required for the use of your personal data by the Fund in the "Declarations" section of the Application Form.

Title:	Mr <input type="checkbox"/> Mrs <input type="checkbox"/> Miss <input type="checkbox"/> Ms <input type="checkbox"/> Other
Surname:	
Forename(s) in full:	
Nationality:	
Registered name (If not an individual e.g. company):	
Registered address**:	
House number/name:	
Street:	
City/Town:	
Post Code:	
Country:	
Email Address:	
Tel No:	
Fax No:	

\*\* This address should be the address of the registered holder. In the case of individual applicants in their own name this address will appear on the share register and should therefore be a residential address. The applicant will be required to provide proof of this address in Section 4. PO Boxes or 'care of' addresses should not be provided.

### TAXATION OF SAVINGS DIRECTIVE

Council Directive 2003/48/EC (the "Savings Directive"), which deals with the taxation of savings income in the form of interest payments, seeks to ensure that individuals that are resident and "residual entities" that are established in an EU Member State or a "dependent or associated territory" who receive savings income from a paying agent in an EU Member State or a dependent or associated territory are taxed in the EU Member State or dependent or associated territory in which they are resident for tax purposes. "Dependent or associated territory" includes Aruba, Netherlands Antilles, Jersey, Guernsey, Isle of Man, Anguilla, British Virgin Islands, Cayman Islands, Montserrat, Turks and Caicos Islands and may be updated from time to time.

Date & Place of Birth:	
Country of issue of Passport/Official ID card*:	
If applicable, your Tax Identification Number ("TIN") issued by your country of residence:	
If applicable, please specify the country issuing TIN:	

### Joint Applicant(s)

Details of up to 3 additional holders may be added to the application. Please complete details in block capitals below.

<b>First additional applicant details</b>	
Surname:	
Forename(s) in full:	
Nationality:	

Address***:	
City/Town:	
Post Code:	
Country:	
For Purposes of the Savings Directive	
Date & Place of Birth:	
Country of issue of Passport/Official ID card*:	
If applicable, your Tax Identification Number ("TIN") issued by your country of residence:	
If applicable, please specify the country issuing TIN:	

<b>Second additional applicant details</b>	
Surname:	
Forename(s) in full:	
Nationality:	
Address***:	
City/Town:	
Post Code:	
Country:	
For Purposes of the Savings Directive	
Date & Place of Birth:	
Country of issue of Passport/Official ID card*:	
If applicable, your Tax Identification Number ("TIN") issued by your country of residence:	
If applicable, please specify the country issuing TIN:	

<b>Third additional applicant details</b>	
Surname:	
Forename(s) in full:	
Nationality:	
Address****:	
City/Town:	
Post Code:	
Country:	
For Purposes of the Savings Directive	
Date & Place of Birth:	
Country of issue of Passport/Official ID card*:	
If applicable, your Tax Identification Number ("TIN") issued by your country of residence:	
If applicable, please specify the country issuing TIN:	

\*Applicants who present a passport or official National ID card issued by an EU Member State or dependent or associated territory and claim to be tax resident in a country other than that EU Member State must produce a certificate of tax residence issued by that country he/she claims to be tax resident in. If they do not, they will be treated for the purposes of the Savings Directive as tax resident in the country which issued the passport or official National Identity card presented by them.

Note: Individuals acting as an intermediary for another person will be treated as the beneficial owner for the purposes of the Savings Directive unless they can provide appropriate evidence that this is the case.

\*\*\* Correspondence will be sent to the first named applicant of joint holders.

### 3. BANK DETAILS

#### Subscription monies – for Settlement of Purchase of Shares

All payments must be made by electronic funds transfer to the relevant account(s) detailed below. Settlement must be made in the designated currency of the Class in which you are investing and must be received by the Administrator in cleared funds by within the time period set out in the Prospectus.

#### USD subscriptions banking details

Intermediary Bank:	Bank of America New York
SWIFT:	BOFAUS3N
Beneficiary Bank:	RBC Dexia Investor Services Bank SA Luxembourg
SWIFT:	FETALULL
Final Beneficiary:	TG INVESTMENT FUNDS PLC SUB ACC
IBAN number:	LU113417720004150500
Ref:	Investor name

**\*\*Note\*\*** : **Please note payment needs to be made via MT103 swift**

#### EUR subscriptions banking details

Intermediary Bank:	Bank of America London**
SWIFT:	BOFAGB22**
Beneficiary Bank:	RBC Dexia Investor Services Bank SA Luxembourg
SWIFT:	FETALULL
Final Beneficiary:	TG INVESTMENT FUNDS PLC SUB ACC
IBAN number:	LU473416720004153200
Ref:	Investor name

**\*\*NOTE for EUR Payments:** In order to benefit as much as possible from local clearing, it is recommended that you route your payment via one of the following of our Bank of America EUR correspondent banks:

Code Swift	Bank Name
BOFAGB22	Bank of America London
BOFAFRPP	Bank of America Paris
BOFADEFX	Bank of America Frankfurt
BOFAIT2X	Bank of America Milan
BOFAES2X	Bank of America Madrid
BOFABE3X	Bank of America Antwerp
BOFAIE3X	Bank of America Dublin
BOFANLNX	Bank of America Amsterdam

#### Redemption monies – for Redemptions

The bank details for receipt of redemption proceeds are as outlined below. I/We undertake to inform the Administrator in writing of changes to those details immediately.

Name of Bank:	
Address of Bank:	

Country:	
Bank SWIFT/Sort code:	
Name of Account Holder:	
Account Number:	

Redemption proceeds will be returned to an account held in the name of the registered Shareholder(s).

#### 4. ANTI-MONEY LAUNDERING AND TAXATION OF SAVINGS PROCEDURES

Under Irish legislation covering anti-money laundering and the taxation of savings the Fund and the Administrator are required to obtain the documentation set out in Appendix A to verify the identity and permanent address and tax residency status of all new clients. Please note that the application may not be accepted until all the relevant information has been received. Additional confirmation of identity or authority of the applicant or the source of funds may be required in certain circumstances.

**Redemptions will not be processed on non cleared / verified accounts. If you are already a Shareholder it will not be necessary to provide any of the information set out in Appendix A, providing you have already provided this information in respect of your original application.**

#### 5. DECLARATIONS AND SIGNATURES

**By signing below:**

1. I / We hereby acknowledge that I / we have received and considered a Prospectus relating to the Fund and / or Sub-Fund in which investment is proposed to be made and this Application is made on the terms thereof and subject to the provisions of the Memorandum and Articles of Association of the Fund as amended from time to time.
2. I / We confirm that I am / we are 18 years of age or over and I / we have the authority to make the investment pursuant to this Application Form whether this investment is my / our own name or is made on behalf of another person or institution.
3. I / We have made arrangements for payment to be made to the relevant bank account(s) specified above for subscriptions and acknowledge that the Fund reserves the right to reject any application in whole or part without assigning any reason therefor.
4. I / We agree to provide to the Fund or its appointed Administrator any additional documentation that it or they may require to verify my / our identity in accordance with current anti-money laundering and / or taxation of savings legislation. I / We acknowledge that any delay by me / us in providing such documentation may result in delayed processing of my / our application and / or delayed payment of any future redemption payments to me / us or processing of Share transfer requests on my / our behalf. I / We hereby hold the Fund and the Administrator harmless and indemnify them against any loss arising as a result of a failure to process the application if such information has been required and has not been provided by me / us. I / We also warrant and declare that the monies being invested pursuant to this application do not represent directly or indirectly the proceeds of any criminal activity and the investment is not designed to conceal such proceeds so as to avoid prosecution for an offence or otherwise.
5. I / We declare that I / we am / are not a US Person and certify that the Shares applied for are not being acquired for the benefit of, directly or indirectly, any US Person nor in violation of any applicable law or regulation, and I / we will not, subject to the conditions set forth in the Prospectus, sell or offer to sell or transfer Shares to a US Person or any person in violation of any applicable law or regulation.
6. The Fund, the Investment Manager and the Administrator of the Fund are hereby authorised to accept and execute any instructions in respect of the Shares to which this application relates or which may in future be acquired by me / us which are given by me / us in written form or by facsimile or such other means as may from time to time be permitted by the Directors or their delegate including electronic means and in the case of joint account holders which are given by such means by the first named applicant ("Instructions"). I / We hereby agree to indemnify each of the Fund, the Investment Manager and the Administrator and agree to keep each of them indemnified against any loss of any nature whatsoever arising to any of them as a result of any of them acting upon Instructions. The Fund, the Investment Manager and the Administrator may each rely conclusively upon and shall incur no liability in respect of any action taken upon any Instructions believed in good faith to be genuine and to be signed or given by properly authorised persons.
7. I / We hereby agree to indemnify and hold harmless each of the Fund, the Investment Manager, the Administrator and their respective directors, officers and employees against any loss, liability, cost or expense (including without limitation legal fees, taxes and penalties) which may result directly or indirectly from any misrepresentation or breach of any warranty, condition, covenant or agreement set

forth herein or in any document delivered by me / us to any of them and shall notify the Fund immediately if any of the representations herein made are no longer accurate and complete in all respects.

8. I / We agree to provide to the Fund, the Investment Manager and the Administrator, at such times as each of them may request, such declarations, certificates or documents as each of them may reasonably require in connection with this investment. Should any information furnished to any of them become inaccurate or incomplete in any way, I / We hereby agree to notify the Fund or the Administrator immediately of any such change and further agree to request the redemption of Shares in respect which such confirmations have become incomplete or inaccurate where requested to do so by the Fund. I / we agree to notify the Fund of any change to my / our tax residency status.
9. I / We understand that the confirmations, representations, declarations, indemnities and warranties made or given herein are continuous and apply to all subsequent purchases of Shares by me / us in the Fund.
10. I / We acknowledge that the Fund has been established with segregated liability between its Sub-Funds. I / We hereby agree that any amounts due or payable to me / us in respect of an investment in the Sub-Fund, howsoever arising (including any proven claim), will be limited to, and payable only out of, the assets of the Sub-Fund and in no circumstances will the assets of any other sub-fund be used to discharge the amount due
11. (In respect of joint applicants only) we direct that on the death of one of us the Shares for which we hereby apply to be held in the name of and to the order of the survivor or survivors of us or the executor or administrator of the last survivor.
12. I / We hereby acknowledge that any notice or document may be served by the Fund on me / us in the manner specified from time to time in the Prospectus and, for the purposes of the Electronic Commerce Act, 2000, if I have provided an e-mail address or fax number to the Fund or its delegate, consent to any such notice or document being sent to me / us by fax or electronically to the fax number or e-mail address previously identified to the Fund or its delegate which I / we acknowledge constitutes effective receipt by me / us of the relevant notice or document. I / we acknowledge that I / we are not obliged to accept electronic communication and may at any time choose to revoke my / our agreement to receive communications by fax or electronically by notifying the Fund in writing at the above address provided that my / our agreement to receive communications by fax or electronically shall remain in full force and effect pending receipt by the Fund of written notice of such revocation.
13. I / We have such knowledge and experience in business and financial matters that I / we am / are capable of evaluating the merits and risks of an investment by me / us in the Shares.
14. We confirm that the persons listed below on the attached authorised signatories list and whose specimen signatures appear under the heading "Authorised Persons" on that list are duly authorised to give Instructions with respect to Shares held by us in the Fund. (For corporate applicants only)
15. **Data Protection/Information about other Investment Services**

In signing this application form, I / we acknowledge that the Fund is a data controller and hereby consent to the Fund and its delegates and its or their duly authorised agents and any of their respective related, associated or affiliated companies obtaining, holding, using, disclosing and processing my data:

- i) To establish my / our identity and manage and administer my / our holding in the Fund and any related accounts on an on-going basis;
- ii) For any other specific purposes where I / we have given specific consent to do so;
- iii) To carry out statistical analysis and market research;
- iv) To comply with legal or regulatory requirements applicable to the Fund or any of them or me;
- v) For disclosure or transfer whether in Ireland or countries outside of Ireland including without limitation the United States of America, which may not have the same data protection laws as Ireland, to third parties including my financial adviser (where appropriate), regulatory bodies, tax authorities, auditors, technology providers or to the Fund and its delegates and its or their duly appointed agents and any of their respective related, associated or affiliated companies for the purposes specified above;
- vi) For disclosure to the tax authorities in Ireland and in my / our country of residence in accordance with the EU Taxation of Savings Directive (2003/48/EC) and applicable local regulations; and
- vii) For other legitimate business interests of the Fund.

I / we hereby acknowledge my / our right of access to and the right to rectify my / our personal data.

The Fund is a data controller and will hold any personal information provided by me in accordance with the Data Protection Act, 1988, as amended by the Data Protection (Amendment) Act, 2003.

I / we consent to the recording of telephone calls made to and received from me / us by the Fund, its delegates, its duly appointed agents and any of their respective related, associated or affiliated companies for record keeping, security and / or training purposes.

I / we consent to the sending by the Fund of information about other investment services to me/us, by letter, telephone or other reasonable means of communication.

Please tick this box if you do not wish to receive such communications:

I / We acknowledge my / our right to object to the processing of my / our data for direct marketing purposes by written request to the Fund free of charge.

## 16. EU Savings Directive Declaration – Corporate Entities

Council Directive 2003/48/EC of 3 June 2003 (the “Savings Directive”), which deals with the taxation of savings income in the form of interest payments, seeks to ensure that individuals that are resident and “residual entities” that are established in an EU Member State or a “dependent or associated territory” who receives savings income from a paying agent in an EU Member State or dependent or associated territory are taxed in the EU Member State or dependent or associated territory in which he/she is resident for tax purposes. “Dependent or associated territory” includes Aruba, Netherlands Antilles, Jersey, Guernsey, Isle of Man, Anguilla, British Virgin Islands, Cayman Islands, Montserrat, Turks and Caicos Islands and may be updated from time to time. The Company is obliged to establish the identity and residence of such individuals. In order to exclude corporate and other entities from the identity verification process please complete the following declaration.

N.B. This Declaration is to be completed by applicants resident in an EU Member State who are **not** natural persons (i.e. not an individual, unincorporated body, unincorporated partnership or any of the foregoing acting as trustee of a trust).

### Corporate or Equivalent Entities

Is the applicant:

(Please tick one of the following)

Certification
---------------

- |     |  |                          |                          |
|-----|--|--------------------------|--------------------------|
| (a) | A company (including a company acting as a corporate trustee), or other legal person (Certificate of Incorporation or document from the appropriate authority certifying that the entity is a legal person); or  | <input type="checkbox"/> | <input type="checkbox"/> |
| (b) | An entity taxed under the general arrangements for business taxation i.e. its profits are subjected to corporation tax or tax in another territory which corresponds to corporation tax in Ireland (document from the appropriate Revenue authority certifying that the entity is taxed as such); or | <input type="checkbox"/> | <input type="checkbox"/> |
| (c) | A UCITS (or an equivalent collective investment undertaking in a relevant territory other than an EU Member State) (certificate from the appropriate regulatory authority); or   | <input type="checkbox"/> | <input type="checkbox"/> |
| (d) | An entity that has elected to be treated as a UCITS for the purposes of the legislation (certificate from the appropriate tax authority); or   | <input type="checkbox"/> | <input type="checkbox"/> |
| (e) | A pension fund (if applicable, corporate trustees to a pension fund should tick box (a)) (certificate from the appropriate regulatory authority); or   | <input type="checkbox"/> | <input type="checkbox"/> |
| (f) | A charity (if applicable, corporate trustees to a charity should tick box (a)) (certificate from the appropriate regulatory authority); or   | <input type="checkbox"/> | <input type="checkbox"/> |
| (g) | A Finnish avoin yhtiö (Ay); (certificate from the appropriate authority); or   | <input type="checkbox"/> | <input type="checkbox"/> |
| (h) | A Finnish kommandiittiyhtiö (Ky)/öppet bolag; (certificate from the appropriate authority); or   | <input type="checkbox"/> | <input type="checkbox"/> |
| (i) | A Finnish kommanditbolag; (certificate from the appropriate authority); or   | <input type="checkbox"/> | <input type="checkbox"/> |
| (j) | A Swedish handelsbolag (HB); (certificate from the appropriate authority); or  | <input type="checkbox"/> | <input type="checkbox"/> |
| (k) | A Swedish kommanditbolag (KB) (certificate from the appropriate authority).  | <input type="checkbox"/> | <input type="checkbox"/> |
| (l) | A residual entity (none of the above entities)   | <input type="checkbox"/> | <input type="checkbox"/> |

(Only residual entities established in an EU Member State or dependent or associated territories fall

within the Savings Directive. If not established in an EU Member State or dependent or associated territory, please provide evidence of country of establishment)

## 17. Revenue Declarations

Applicants resident outside the Republic of Ireland are required by the Irish Revenue Commissioners to make the following declaration, which is in a format authorised by them, in order to receive payment without deduction of tax. It is important to note that this declaration, if it is then still correct, shall apply in respect of any subsequent acquisitions of Shares. Terms used in this declaration are defined in the Prospectus.

*Please tick a) or b)*

Either:

**(a)  Declaration on own behalf**

I / we\* declare that I am / we are\* applying for the Shares on my own / our own behalf / on behalf of a company\* and that I am / we are / the company is\* entitled to the Shares in respect of which this declaration is made and that

- I am / we are / the company is\* not currently resident or ordinarily resident in Ireland and
- Should I / we / the company\* become resident in Ireland, I / we\* will so inform you, in writing, accordingly.

or

**(b)  Declaration as Intermediary**

I am / we are\* applying for Shares on behalf of persons:

- who will be beneficially entitled to the Shares, and
- who to the best of my / our\* knowledge and belief are neither resident nor ordinarily resident in Ireland.

I / We\* also declare that:

- unless I / we\* specifically notify you to the contrary at the time of application, all applications for Shares made by me / us\* from the date of this application will be made on behalf of such persons; and
- I / we\* will inform you in writing if I / we\* become aware that any person, on whose behalf I / we\* holds shares/units, becomes resident in Ireland.

\* Please delete as appropriate

If you are resident or ordinarily resident in Ireland and are a qualifying investor within the meaning of Section 739D(6) of the Taxes Consolidation Act, 1997 please delete the declarations on own behalf and as Intermediary and contact the Administrator for a separate declaration form suitable for you.

## SIGNATURES AND DATE OF APPLICATION

1. \_\_\_\_\_  
**First Applicant (or Authorised Signatory, if applicable):**

\_\_\_\_\_  
**Capacity of Authorised Signatory, if applicable**

2. \_\_\_\_\_  
**First Additional Applicant (or authorised signatory, if applicable)**

\_\_\_\_\_  
**Capacity of Authorised Signatory, if applicable**

3. \_\_\_\_\_  
**Second Additional Applicant (or authorised signatory, if applicable)**

\_\_\_\_\_  
**Capacity of Authorised Signatory, if applicable**

4. \_\_\_\_\_  
**Third Additional Applicant (or authorised signatory, if applicable)**

\_\_\_\_\_  
**Capacity of Authorised Signatory, if applicable**

**Date:** \_\_\_\_\_

## **6. NOTES TO ASSIST IN COMPLETION**

1. Non-resident declarations are subject to inspection by the Irish Revenue Commissioners and it is a criminal offence to make a false declaration. The application form including the non-resident declaration may also therefore be disclosed to the Irish Revenue Commissioners.
2. To be valid, the application form (incorporating the declaration required by the Irish Revenue Commissioners) must be signed by the applicant. Where there is more than one applicant, each person must sign. If the applicant is a company, it must be signed by the company secretary or another authorised officer.
3. If the application form (incorporating the declaration required by the Irish Revenue Commissioners) is signed under power of attorney, a certified copy of the power of attorney must be furnished in support of the signature.
4. "Intermediary" means a person who:
  - (a) carries on a business which consists of, or includes, the receipt of payments from an investment undertaking resident in Ireland on behalf of other persons, or
  - (b) hold units in an investment undertaking on behalf of other persons.
5. The Fund is regulated in Ireland by the Irish Financial Services Regulatory Authority.
6. Investors should not complete this Application Form until they have read the Simplified Prospectus relating to this investment.
7. Copies of the Prospectus and the most recently published annual and semi-annual reports of the Company are freely available from the offices of the Administrator.
8. A corporation should affix its common seal and have it countersigned by persons authorised to countersign the seal or otherwise should execute under the hand of a duly authorised official or officials who should state his/their representative capacity. A certified copy (certified by a director or secretary of the company) of the resolution or other authority authorising one or more signatories should be provided with the application form.
9. If any of the details provided by the applicant(s) in this application form change during the lifetime of this investment, please advise the Administrator immediately, in order to avoid any possible settlement delays at some future date.

## **7. PROFESSIONAL ADVISER CONFIRMATION**

This section is to be completed by all professional advisers for each applicant. Please confirm that the identity, permanent address and tax residency status (individuals only) of the applicant(s) has been verified by ticking the boxes below to confirm that the requisite documents have been provided by each applicant in connection with this application. Applications may not be accepted until all relevant documentation is received. The Fund and/or its agent(s) reserves the right to request additional documentation if it is deemed necessary.

### **Individual Applicants**

The information required from individual applicants (including all additional applicants listed in Section 2) who are not existing investors is listed below. Please check the boxes below to indicate that the information has been provided with the application.

Requirement	Obtained
Certified copy of a current passport, drivers licence or identification card that bears evidence of applicant's identity. (Certified by a police officer, a certified accountant, a practicing solicitor or other person acceptable to the Company.)	Applicant <input type="checkbox"/> 1st additional applicant <input type="checkbox"/> 2nd additional applicant <input type="checkbox"/> 3rd additional applicant <input type="checkbox"/>
Requirement	Obtained
Certified copy of two items bearing evidence of his / her residential address such as a recent bank statement, public utility bill or mobile phone invoice.	Applicant <input type="checkbox"/> 1st additional applicant <input type="checkbox"/> 2nd additional applicant <input type="checkbox"/> 3rd additional applicant <input type="checkbox"/>
Requirement	Obtained
A copy of his/her tax residency certificate issued by the tax authorities in the country in which the applicant currently claims residency.  <i>(Relevant for applicants resident in one country whose passport or identity card issued by another Member State of the European Union)</i>	Applicant <input type="checkbox"/> 1st additional applicant <input type="checkbox"/> 2nd additional applicant <input type="checkbox"/> 3rd additional applicant <input type="checkbox"/>

### Corporate Applicants

The information required from corporate applicants who are not existing investors is listed below. Please tick the boxes below to indicate that the information has been provided with the application.

**Requirements highlighted in bold are the reduced set of requirements for public companies listed (or subsidiaries of public companies listed) on a stock exchange in the EU, Argentina, Australia, Brazil, Canada, Channel Islands, Hong Kong, Iceland, Isle of Man, Japan, Liechtenstein, Mexico, New Zealand, Norway, Russian Federation, Singapore, South Africa, Switzerland, Turkey and the USA. This is the list of countries prescribed under the Criminal Justice Act 1994 as at September 2004.**

Requirement	Enclosed
A certified copy of certificate of incorporation (and any certificate following a change of name) (Certified by the Companies Registration Office or an independent professional or banker)	<input type="checkbox"/>
A current list of Directors containing the following information: <ul style="list-style-type: none"> <li>▪ name</li> <li>▪ occupation</li> <li>▪ date of birth</li> <li>▪ residential address and business address</li> </ul>	<input type="checkbox"/>
A certified copy of the Memorandum and the	

Articles of Association of the applicant.	
A list of names and addresses of shareholders holding or controlling more than 10% of the issued share capital of the Company and in the case of individual shareholders their occupations and dates of birth.	<input type="checkbox"/>
<b>A certified copy of the appropriately authorised mandate for the directors to open / operate the account (Authorised Signatory List / Board Resolution)</b>	<input type="checkbox"/>
A certified copy of a bank statement for the company or a bank reference	<input type="checkbox"/>
In the case of companies which are not credit institutions or financial institutions regulated in their country of establishment. For at least 2 of the directors and all persons authorised to operate the account, a certified copy of a current passport, drivers licence or identification card that bears evidence of his/her identity and two items bearing evidence of his/her registered address such as a current public utility bill or mobile phone invoice.	<input type="checkbox"/>

**I confirm that I have verified the identity permanent address and tax residency status (individuals only) of each applicant and I hold evidence of that verification. I also confirm that;**

- a) I will provide copies of such evidence if requested to do so; or
- b) I have attached copies of such evidence to this application form.

<b>Professional Adviser Contact Details:</b>	
Contact Name:	
Company Name:	
Address:	
Email:	
Tel No:	
Fax No:	
Principal Regulator:	

**Professional Adviser's authorised signature(s):** \_\_\_\_\_

**Professional Adviser's Company Stamp:**

**Date:** \_\_\_\_\_

## APPENDIX A

## DOCUMENTATION REQUIRED FOR ANTI-MONEY LAUNDERING PURPOSES

## Individual Applicants

The information required from individual applicants (including all additional applicants listed in Section 2) who are not Existing Investors is listed below. Please check the boxes below to indicate that the information has been provided with the application.

*Please note that the application **may not** be accepted until all the relevant information has been received. Additional confirmation of identity or authority of the applicant or the source of funds may be required in certain circumstances.*

Requirement	Enclosed
Certified copy of current passport, drivers licence or identification card that bears evidence of your identity. (Certified by a police officer, a certified accountant, a practicing solicitor or other person acceptable to the Company.)	Applicant <input type="checkbox"/> 1st additional applicant <input type="checkbox"/> 2nd additional applicant <input type="checkbox"/> 3rd additional applicant <input type="checkbox"/>
Requirement	Enclosed
Certified copy of two items bearing evidence of your residential address such as a recent bank statement, public utility bill or mobile phone invoice.	Applicant <input type="checkbox"/> 1st additional applicant <input type="checkbox"/> 2nd additional applicant <input type="checkbox"/> 3rd additional applicant <input type="checkbox"/>
Requirement	Enclosed
A copy of your tax residency certificate issued by the tax authorities in the country in which you currently claim residency.  <i>(Relevant for applicants resident in one country whose passport or identity card is issued by another Member State of the European Union.)</i>	Applicant <input type="checkbox"/> 1st additional applicant <input type="checkbox"/> 2nd additional applicant <input type="checkbox"/> 3rd additional applicant <input type="checkbox"/>

## Corporate Applicants

The information required from corporate applicants who are not Existing Investors is listed below. Please check the boxes below to indicate that the information has been provided with the application.

*Please note that the application **may not** be accepted until all the relevant information has been received. Additional confirmation of identity or authority of the applicant or the source of funds may be required in certain circumstances.*

**Requirements highlighted in bold are the reduced set of requirements for public companies listed (or subsidiaries of public companies listed) on a stock exchange in the EU, Argentina, Australia, Brazil, Canada, Channel Islands, Hong Kong, Iceland, Isle of Man, Japan, Liechtenstein, Mexico, New Zealand, Norway, Russian Federation, Singapore, South Africa, Switzerland, Turkey and the USA. This is the list of countries prescribed under the Criminal Justice Act 1994 as at September 2004.**

Requirement	Enclosed
Certified copy of certificate of incorporation (and any certificate following a change of name) (Certified by the Companies Registration Office or an independent professional or banker)	<input type="checkbox"/>
A certified copy of the Memorandum and the Articles of Association of the applicant.	<input type="checkbox"/>
A current list of Directors containing the following information: Name occupation date of birth residential address and business address	<input type="checkbox"/>
A list of names and addresses of shareholders holding or controlling more than 10% of the issued share capital of the Company and in the case of individual shareholders their occupations and dates of birth.	<input type="checkbox"/>
A certified copy of the appropriately authorised mandate for the directors to open / operate the account (Authorised Signatory List/Board Resolution)	<input type="checkbox"/>
A certified copy of a bank statement for the company or a bank reference	<input type="checkbox"/>
In the case of companies which are not credit institutions or financial institutions regulated in their country of establishment, for at least 2 of the directors and all persons authorised to operate the account, a certified copy of a current passport, drivers licence or identification card that bears evidence of his/her identity and two original items bearing evidence of his/her registered address such as a current public utility bill or mobile phone invoice.	
A copy of the relevant certification for the purposes of exclusion of the corporate or equivalent entity from the reporting obligations imposed by the Savings Directive. (See Section 15)	<input type="checkbox"/>